1		TITLE 77: PUBLIC HEALTH			
2					
3		SUBCHAPTER u: MISCELLANEOUS PROGRAMS AND SERVICES			
4					
5		PART 955			
6		HEALTH CARE WORKER BACKGROUND CHECK CODE			
7					
8	Section				
9	955.100	Applicability			
10	955.110	Definitions			
11	955.115	Initiation of Fingerprint-Based Criminal History Records Checks as a Fee			
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22	955.180	Criminal History Records Checks after Implementation			
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28	955.230	Invalid Non-Fingerprint-Based Records Check (Repealed)			
29	955.240	Fingerprint-Based UCIA Criminal History Records Check (Repealed)			
30	955.250	Additional Conviction (Repealed)			
31	955.260	Application for Waiver			
32	955.270	Department Review of Waiver Application			
33	955.275	Rehabilitation Waiver			
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37	955.300	Health Care Worker Registry			
38	955.310	Department Findings of Abuse, Neglect, or Misappropriation of Property			
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40					
41	955.APPI	ENDIX A Offenses that Are Always Disqualifying Except Through the Appeal			
42		Process			

43 Disqualifying Offenses that May Be Considered for a Rehabilitation 955.APPENDIX B 44 Waiver Disqualifying Offenses that May Be Considered for a Waiver by the 45 955.APPENDIX C Submission of a Waiver Application 46 47 48 AUTHORITY: Implementing and authorized by the Health Care Worker Background Check 49 Act [225 ILCS 46]. 50 51 SOURCE: Adopted at 28 Ill. Reg. 14133, effective October 15, 2004; amended at 33 Ill. Reg. 52 5378, effective March 26, 2009; amended at 43 Ill. Reg. 3665, effective March 1, 2019; 53 emergency amendment at 44 Ill. Reg. 5951, effective March 25, 2020, for a maximum of 150 days; emergency expired August 21, 2020; emergency amendment at 44 Ill. Reg. 6597, effective 54 55 April 10, 2020, for a maximum of 150 days; emergency expired September 6, 2020; emergency 56 amendment at 44 Ill. Reg. 14355, effective August 24, 2020, for a maximum of 150 days; 57 emergency expired January 20, 2021; amended at 44 Ill. Reg. 18422, effective October 29, 2020; 58 emergency amendment at 45 Ill. Reg. 1738, effective January 21, 2021, for a maximum of 150 59 days; emergency expired June 19, 2021; emergency amendment at 45 Ill. Reg. 8109, effective 60 June 20, 2021 through June 26, 2021; emergency amendment at 46 Ill. Reg. 2763, effective 61 January 28, 2022, for a maximum of 150 days; emergency expired June 26, 2022; amended at 46 Ill. Reg. 6104, effective April 4, 2022; amended at 47 Ill. Reg. \_\_\_\_\_, effective \_\_\_\_\_ 62 63 64 Section 955.300 Health Care Worker Registry 65 66 The Department will establish and maintain a Health Care Worker Registry of a) unlicensed individuals and employees who: 67 68 69 1) Are employed or retained by a health care employer as home health care 70 aides, nurse aides, certified nursing assistants, personal care assistants, 71 private duty nurse aides, day training personnel, or an individual working 72 in any similar health-related occupation where he or she provides direct 73 care (e.g., resident attendants, child care/habilitation aides/developmental 74 disabilities aides, and psychiatric rehabilitation services aides), or in 75 positions where they have access to long-term care residents or the living quarters or financial, medical, or personal records of long-term care 76 residents; (Section 10 of the Act) 77 78 79 2) Have satisfactorily completed the training required by Section 3-206 of 80 the Nursing Home Care Act, Section 3-206 of the MC/DD Act, or Section 3-206 of the ID/DD Community Care Act; (Section 26 of the Act) or 81 82 83 3) Have begun a current course of training as set forth in Section 3-206 of 84 the Nursing Home Care Act, Section 3-206 of the MC/DD Act, or Section

3-206 of the ID/DD Community Care Act. (Section 26 of the Act)

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86			
87	b)	Any is	ndividual or employee placed on the Health Care Worker Registry is
88	,	-	red to inform the Department of any change of address within 30 days after
89		_	ffective date of the change of address. (Section 26 of the Act)
90		e e <sub>j</sub>	
91	c)	The F	Health Care Worker Registry will include the information contained in the
92	υ,		tries established under Section 3-206.01 of the Nursing Home Care Act,
93		_	on 3-206.01 of the MC/DD Act, and Section 3-206.01 of the ID/DD
94			nunity Care Act. (Section 26 of the Act)
95		Comi	munity cure rici. (Section 20 of the rici)
96	d)	The L	Health Care Worker Registry will document criminal history records check
97	u)		· · · · · · · · · · · · · · · · · · ·
			ts pursuant to the requirements of the Act and this Part. (Section 28(c) of the
98		Act)	
99	,	Tri T	
100	e)		Health Care Worker Registry maintained by the Department exclusive to
101			h care employers, staffing agencies, workforce intermediaries, organizations
102		-	provide pro bono legal services, and educational entities will clearly indicate
103		wheth	her an individual is eligible for employment and will include the following:
104			
105		1)	The individual's name;
106			
107		2)	His or her current address;
108			
109		3)	Social Security number or federal taxpayer identification number;
110			
111		4)	The date and location of the training course completed by the individual;
112			(Section 26 of the Act Section 3 206.01(a 5) of the Nursing Home Care
113			Act)
114			
115		5)	Information identifying where an individual received his or her clinical
116			training; (Section 3-206(a)(5) of the Nursing Home Care Act)
117			
118		6)	Whether the individual has any of the disqualifying convictions listed in
119			Section 25 of the <u>Health Care Worker Background Check</u> Act from the
120			date of the training course completed by the individual training course
121			completed by the individual;
122			
123		7)	The date of the individual's last criminal records check; (Section 26 of the
124		- /	ActSection 3-206.01(a-5) of the Nursing Home Care Act)
125			<u></u>
126		8)	Whether the individual has any findings as reported by the Inspector
127		٠,	General of the Department of Human Services, under <u>subsection</u> (s) of
			State of the Department of Italian Services, where

128 129			Section 1-17(s) of the Department of Human Services Act; (Section 27(g) of the Act)
130			
131		9)	Whether the individual has a waiver pending under Section 40 of the
132			Health Care Worker Background Check Act (Section 3-206.01(a-5)(1) of
133			the Nursing Home Care Act), and the following language:
134			
135			"A waiver granted by the Department of Public Health is a
136			determination that the individual is eligible to work in a health
137			care facility. The Equal Employment Opportunity Commission
138			provides guidance about federal law regarding hiring of
39			individuals with criminal records." (Section 3-206.01(a-5)(2) of
40			the Nursing Home Care Act); and
41			6 1 1 1 1 1 1 1 1 1 1 1 1 1 1 1 1 1 1 1
142		10)	A link to Equal Employment Opportunity Commission guidance regarding
43		/	hiring of individuals with criminal records. (Section 3-206.01(a-5)(3) of
44			the Nursing Home Care Act)
145			and I withing I to the Care (
46	f)	The nu	ublicly accessible version of the Health Care Worker Registry will:
47	-/	The pro	december version of the from the content of the con
148		1)	Report that an individual is ineligible to work, if he or she has a
149		-/	disqualifying offense under Section 25 of the <u>Health Care Worker</u>
50			Background Check Act and has not received a waiver under Section 40 of
51			the Act;
152			
153		2)	Report that an individual is eligible to work, if he or she has received a
54		-/	waiver for one or more disqualifying offenses under Section 40 of the Act
155			and he or she is otherwise eligible to work. The publicly accessible Health
156			Care Worker Registry shall not report information regarding the granting
157			or denial of <i>a waiver</i> ; (Section 3-206.01(a-10) of the Nursing Home Care
158			Act)
59			
60		3)	Include findings as reported by the Department that an employee, or
61		3)	former employee, has been guilty of abuse or neglect of a resident or
62			misappropriation of resident property or whether the Department has
163			made any other applicable finding as set forth in this Part, including the
164			employee's, or former employee's, statement relating to the finding or a
165			clear and accurate summary of the statement; (Section 27(f) of the Act)
166			and
167			und
168		4)	Include findings as reported by the Inspector General of the Department
169		4)	
170			of Human Services, under <u>subsection (s) of Section 1-17(s)</u> of the Department of Human Services Act. (Section 27(g) of the Act)
170			Department of Human Services Act. (Section 27(g) of the Act)

171			
172	(Source: Amended at 47 Ill. Reg	, effective	)